PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/600,298	
Filing Date	June 20, 2003	
First Named Inventor	NIKOLCHEV, JULIAN N.	
Art Unit	3764	
Examiner Name	Unassigned	
Attorney Docket Number	016355-002580US	

		ENCLOSURE	S (Check all that apply	у)
Fee Transmittal F	orm	Drawing(s)		After Allowance Communication to Group
☐ Fee Attached		Licensing-relate	d Papers	Appeal Communication to Board of Appeals and Interferences
Amendment/Repl	у	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final		Petition to Conv Provisional App		Proprietary Information
Affidavits/dec	laration(s)	Power of Attorne Change of Corre	ey, Revocation espondence Address	Status Letter
Extension of Time	e Request	Terminal Disclai	mer	Other Enclosure(s) (please identify below):
Express Abandon	Express Abandonment Request		und CD(s)	Return Postcard
☐ Information Disclo	osure Statement			
Certified Copy of Document(s)	Priority	The Commissioner is authorized to charge any additional fees to D Remarks Account 20-1430.		•
Response to Miss	_			RECEIVED
Incomplete Applic				SEP 0 3 2003
	R 1.52 or 1.53			
	SIG	NATURE OF APPL	ICANT, ATTORNEY,	OR AGENT
Firm		wnsend and Crew LLF	· · · · · · · · · · · · · · · · · · ·	
or Mark D. Barrish			Reg. No	o. 36,443
Signature		13		
Date	August <u>25</u> , 2003			
		CERTIFICATE OF	TRANSMISSION/M	AILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. **Edward Masinas**

August <u>26,</u> 2003 Date Signature Edward Marrias

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Attorney Docket No.: 016355-002580US

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

On 08-26-03

TOWNSEND and TOWNSEND and CREW LLP

By: Caward Macinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JULIAN N. NIKOLCHEV et al.

Application No.: 10/600,298

Filed: June 20, 2003

For: CONTRACEPTIVE

TRANSCERVICAL FALLOPIAN TUBE

OCCLUSION DEVICES AND

METHODS

Examiner: Unassigned

Art Unit: 3764

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TECHNOLOGY CENTER R3700

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/592,123 and 08/474,799 (now U.S. Patent Nos. 6,526,979 and 6,176,240), filed June 12, 2000 and June 7, 1995 (Attorney Docket Nos. 016355-002540US and 016355-002400US, respectively). It is requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

JULIAN N. NIKOLCHEV et al. Application No.: 10/600,298

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Mark D. Barrish Reg. No. 36,443

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

JKS:ewm 60005307 v1

PTO/SB/08A (04-03)
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Substitute for form 1449/PTO

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Page 1 of 7

Application Number 10/600,298 Filing Date June 20, 2003 First Named Inventor NIKOLCHEV, JULIAN N., et. al. Art Unit 3764					
Application Number	10/600,298				
Filing Date	June 20, 2003				
First Named Inventor	NIKOLCHEV, JULIAN N., et. al.				
Art Unit	3764				
Examiner Name	Unassigned				
Attorney Docket Number	016355-002580US				

			U.S. PATENT D	OCUMENTS	
Examiner	Cite No.1	Document Number Number Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	RE 29,345	08-09-1977	. Erb	
	2	2,365,296	12-19-1944	Schimpf	
	. 3	3,042,030	07-03-1962	Read	
	4	3,334,629	08-08-1967	Cohn	
	, 5	3,404,682	10-08-1968	Waldron	
	6	3,405,711	10-15-1968	Bakunin	
	7	3,422,813	01-21-1969	Braley et al.	
	8	3,463,141	08-26-1969	Mozolf	
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	10	3,561,438	02-09-1971	Canel	
	11	3,598,115	08-10-1971	Horne, Jr.	
	12	3,675,642	07-11-1972	Lord	
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	15	3,722,500	03-27-1973	Robinson	DECEIVED
	16	3,768,102	10-30-1973	Kwan-Gett et al.	HEULI
	17	3,774,600	11-27-1973	Cognat	250 0 3 2003
	18	3,803,308	04-09-1974	Zipper	SEP 6 3 2000
	19	3,805,767	04-23-1974	Erb	OUTED D
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	21	3,858,586	01-07-1975	Lessen	
	22	3,868,956	03-04-1975	Alfidi et al.	
	23	3,918,431	11-11-1975	Sinnreich	
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	26	4,057,063	11-08-1977	Gieles et al.	
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	31	4,160,446	07-10-1979	Barrington	
•	32	4,181,725	01-01-1980	Voorhees et al.	
	33	4,185,618	01-29-1980	Corey	
	34	4,245,623	01-20-1981	Erb	
Examiner Signature				Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute	e for 18 तिम्बुविक निर्मे 6			Complete if Known			
				Application Number	10/600,298		
INFO	RMATION	DIS	CLOSURE	Filing Date	June 20, 2003		
STATEMENT BY APPLICANT				First Named Inventor	NIKOLCHEV, JULIAN N., et. al.		
				Art Unit	3764		
(use as many sheets as necessary)				Examiner Name	Unassigned		
Page	2	of	7	Attorney Docket Number	016355-002580US		

		Document Number				
Examiner	Cite No.1	Number Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Pa Applicant of Cit		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	35	4,326,511	04-27-1982	Zimei	man	
	36	4,353,363	10-12-1982	Sopena (Quesada	
	37	4,365,621	12-28-1982	Brur	ndin	
	38	4,374,523	02-22-1983	You	on	
	39	4,416,660	11-22-1983	Daf	foe	
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	42	4,509,504	04-09-1985	Brun	ndin	
	43	4,537,186	08-27-1985	Verscho	of et al.	
	44	4,579,110	04-01-1986	Ham	nou	
	45	4,595,000	06-17-1986	Ham	nou	
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	47	4,606,336	08-19-1986	Zel		
	48	4,612,924	09-23-1986	Cim	ber	
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	50	4,638,803	01-27-1987	Rai	nd	
	51	4,700,701	10-20-1987	Mont	taldi	
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	56	4,805,618	02-21-1989	Ueda	et al.	DEOF
	57	4,808,399	02-28-1989	Rypace	k et al.	RECEIVED
	58	4,824,434	04-25-1989	Seitz	, Jr.	0.7-
	59	4,932,421	06-12-1990	Kaali	et al.	SEP 0 3 2003
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	67	5,176,692	01-05-1993	Wilk e	et al.	
	68	5,207,684	05-04-1993	Nob	les	
Examiner Signature				Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitut	te for form 1449/PTO			Complete if Known			
				Application Number	10/600,298		
			CLOSURE	Filing Date	June 20, 2003		
STA	TEMENT B	ΥA	PPLICANT	First Named Inventor	NIKOLCHEV, JULIAN N., et. al.		
				Art Unit	3764		
	(use as many she	ets as	necessary)	Examiner Name	Unassigned		
Page	3	of	7	Attorney Docket Number	016355-002580US		

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		Τ_	U.S. PATENT D	OCCUMENTS		
Examiner	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	69	5,226,911	07-13-1993	Chee	et al.	
•	70	5,234,437	08-10-1993	Sep	etka	
	71	5,250,071	10-05-1993		ermo	
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	102	6,176,240	01-23-2001	Nickolch	nev et al.	
Examiner Signature				Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449/PTO Complete If Known **Application Number** 10/600,298 INFORMATION DISCLOSURE **Filing Date** June 20, 2003 STATEMENT BY APPLICANT NIKOLCHEV, JULIAN N., et. al. First Named Inventor 3764 Art Unit (use as many sheets as necessary) **Examiner Name** Unassigned Page of 016355-002580US **Attorney Docket Number**

U.S. PATENT DOCUMENTS											
		Document Number									
Examiner	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear						
	103	6,526,979	03-04-2003	Nickolchev et al.							

			FOREIGN PA	TENT DOCUME	NTS		
Examiner Initials*	Cite No. ¹	Fore	Patent Document Number ⁴ Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ ⁶
	104	CN	1047447	12-05-1990			
	105	DE	2404605	08-07-1975	12-11.2		
	106	DE	2525650	12-15-1976			
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	118	GB	1530565	11-01-1978		70/00	
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	126	wo	01/37760	05-31-2001	, , , , , , , , , , , , , , , , , , , ,		
	127	wo	88/09648	12-15-1988		<u> </u>	$\vdash \overline{\sqcap}$
	128	wo	93/06884	04-15-1993			
Examiner Signature			·	Date Considere	d		

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INFO	PRMATION	DIS	CLOSURE	Filing Date	June 20, 2003		
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				Art Unit	3764		
	(use as many she	ets as	necessary)	Examiner Name	Unassigned		
Page	5	of	7	Attorney Docket Number	016355-002580US		

			FOREIGN PA	TENT DOCUME	ENTS		
Examiner	Cite	For	eign Patent Document		Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code ³	Number ⁴ Kind Code ⁵ (<i>il known</i>)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ₆
	129	wo	94/06503	03-31-1994			
	130	wo	94/10936	05-26-1994			
	131	wo	94/11051	05-26-1994			
-	132	WO	94/24944	11-10-1994			
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	139	WO	98/31308	07-23-1998			

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Substitute for form 1449/PTO Complete if Known **Application Number** 10/600,298 **INFORMATION DISCLOSURE Filing Date** June 20, 2003 STATEMENT BY APPLICANT NIKOLCHEV, JULIAN N., et. al. **First Named Inventor** Art Unit 3764 (use as many sheets as necessary) **Examiner Name** Unassigned 6 of Page **Attorney Docket Number** 016355-002580US

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	T	NON PATENT LITERATURE DOCUMENTS		
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	140	Brueschke et al., "A steerable hysteroscopic and mechanical tubal occlusive devices" Advances in Female Sterilization Techniques, Sciarra et al., Eds., Harper & Row, Publishers, (1976) pp. 182-198.		
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	142	Brundin, J., "Transcervical sterilization in the human female by hysteroscopic application of hydrogelic occlusive devices into the intramural parts of the Fallopian tubes: 10 years experience of the P-block," European Journal of Obstetrics & Gynecology and Reproductive Biology, Vol. 39, pp. 41-49, 1991.		
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	151	Gupta, D.N. et al., "Antifertility Effect of an Intrafallopian Tubal Copper Device," Indian J. Exprection Vol. 14, pp. 316-319, May, 1976.	GY C	NTEH A37
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-in number(s), publisher, city and/or country where published.		T ²		
	154	Quinones et al., "Hysteroscopic Sterilization" Int. J. Gynaecol. Obstet. (1976) 14:27-34.			
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	157	Ross, P.L. et al., "Transcatheter Tubal Sterilization in Rabbits," <u>Investigative Radiology</u> , Vol. 29, No. 5, pp. 570-573, 1994.			
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	160	Steptoe, Patrick C., "The Potential Use of Intratubal Stents for Reversible Sterilization," <u>Laparoscopy</u> , pp. 91-99, circa 1976.			

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